

### Subrecipient Letter of Intent or Commitment Form

PASS-THROUGH ENTITY (PTE) INSTITUTION INFORMATION	
PTE PI	
PTE Institution	
Prime Sponsor	FOA
Project Title	

SUBRECIPIENT INSTITUTION INFORMATION	
Institution	
FDP Expanded Clearinghouse Profile URL	
PI Name	Commons ID
PI Phone	PI E-Mail
Project Period	UEI
Performance Site Address	Performance Site Congressional District #
Total Direct Costs	F&A Rate
	Total F&A Costs
	Total Costs
SUBRECIPIENT CONTACTS	
	Name
	Email/Phone
Administrative	
Central Administrative	
COI Contact	
Authorized Official	

	YES/NO	APPROVAL DATE OR PENDING		YES/NO	APPROVAL DATE OR PENDING
Human Subjects			Recombinant dNA		
Vertebrate Animals			Program Income		
Human Embryonic Stem Cells			Cost Sharing		
Select Agents			Potential COI		

**Comments:**

In signing below and offering to participate in this research program, the Subrecipient certifies that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from receiving funds from any federal department or agency and are not delinquent on any federal debt; they are in compliance with the Drug Free Workplace Act of 1988; they are in compliance with U.S. Code, Section 1352, restrictions on the use of federal funds for the purpose of lobbying; they have filed annually with the Office of Scientific Integrity a PHS form 6349 governing Misconduct in Science; they have filed with DHHS compliance offices certification forms governing Civil Rights (441), Handicapped Individuals (641), Sex Discrimination (639-A), and Age Discrimination (680); they are in compliance with PHS policy governing Program Income; they have established policies in compliance with 45 CFR Part 46, Subpart A (protection of human subjects); the Animal Welfare Act (PL-89-544 as amended) and the Health Research Exchange Act of 1985 (Public Law 99-158); and that they are in compliance with NIH guidelines regarding human pluripotent stem cell research, transplantation of fetal tissue, recombinant DNA and human gene transfer research, and inclusion of women, children & minorities in research. The information, certifications and representations above have been read, signed and made by an authorized official of the Subrecipient named herein.

The appropriate programmatic and administrative personnel involved in this application are aware of agency policy in regard to subawards and are prepared to establish the necessary inter-institutional agreements consistent with those policies.

**Any work begun and/or expenses incurred prior to execution of a subaward agreement are at the Subrecipient's own risk.**

**SUBRECIPIENT INSTITUTIONAL OFFICIAL:**

\_\_\_\_\_  
Name and Title

\_\_\_\_\_  
Signature/Date